



2875

PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/571,044
Filing Date	Mar 3, 2006
First Named Inventor	Takashi Oku
Art Unit	2875
Examiner Name	Unassigned
Attorney Docket Number	075834.00553

Attorney Docket Number

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
 - After Final
 - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Reply to Missing Parts/ Incomplete Application
 - Reply to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation
- Change of Correspondence Address
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- Request for Refund
- CD, Number of CD(s) _____
- Landscape Table on CD

- After Allowance Communication to TC
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):
Form PTO/SB/08A, cited references, and a postcard.

Remarks

The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No. 50-3891.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Rockey, Depke, Lyons & Kitzinger, LLC

Signature

Printed name

Paige A. Kitzinger

Date

12/28/06

Reg. No.

45,219

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takashi Oku Atty. Docket No. 075834.00553

Serial No.: 10/571,044 Group Art Unit: 2875

Filed: March 3, 2006 Examiner: Unassigned

Invention: BACKLIGHT AND LIGHT GUIDE PLATE, METHOD OF MANUFACTURING DIFFUSER AND LIGHT GUIDE PLATE, AND LIQUID CRYSTAL DISPLAY APPARATUS

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
Alexandria, VA 22313-1450

SIR:

In accordance with 37 C.F.R §§1.97, 1.98, Applicant and the undersigned attorney hereby submit legible copies of the patents listed on the accompanying form PTO/SB/08A.

In accordance with 37 C.F.R.1.97(b)(3) this Information Disclosure Statement is submitted prior to receipt of a First Office Action on the merits.

Applicant notes that the references listed in the attached Information Disclosure Statement are not in the English language. In accordance with 37 C.F.R. 1.98(a)(3) Applicant encloses the attached abstracts of each of the publications in the English language which were obtained from the website operated by the Japanese Patent Office.

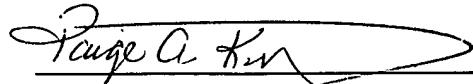
The undersigned asserts that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicant reserves the right to challenge the status of any such document that the office determines to be prior art.

It is Applicant's belief that no fee is required for the submission of this Information Disclosure Statement, however, if it is determined that such a fee is

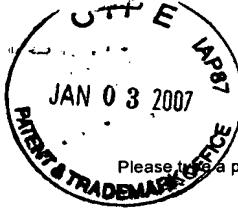
required, Applicant hereby authorizes the Commissioner to charge any fee due for this submission to Deposit Account No. 50-3891.

Respectfully submitted,

Date: 12/27/06



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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/571,044
Sheet	1	of	1	Filing Date	March 3, 2006
				First Named Inventor	Takashi Oku
				Group Art Unit	2875
				Examiner Name	Unassigned
				Attorney Docket Number	075834.00553

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS								
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
F1	JP	03-205393			Shimadzu Corp	09/06/1991		
F2	JP	2002-292690			Meiki Co. Ltd.	10/09/2002		
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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